



OFFICE OF THE TREASURER-TAX COLLECTOR
SHARI L. FREIDENRICH, CPA, CCMT, CPFA, ACPFIM

TREASURY OVERSIGHT COMMITTEE (TOC) AGENDA

Wednesday January 28, 2015 at 3:00 p.m.
12 Civic Center Plaza, Conference Room 300, Santa Ana

LAURA PARISI, Chair

WILLIAM “ANDY” DUNN, Vice Chair

GARY CAPATA, Member

MIKE GIANCOLA, Member

DR. AL MIJARES, Member

RICHARD ROHM, Member

ERIC WOOLERY, Member

1. Call to Order
2. Welcome
3. Public Comments At this time, members of the public may address the Committee regarding any item within the subject matter jurisdiction of the Committee provided that <u>NO</u> action may be taken on off-agenda items unless authorized by law.
4. Minutes <ul style="list-style-type: none">• Approve October 22, 2014 Minutes
5. Old Business <ul style="list-style-type: none">• Review Final 2015 Investment Policy Statement
6. New Business <ul style="list-style-type: none">• Receive and file the Treasurer’s Monthly Investment Report for October, November & December• Receive and file Initial Follow-up and Close-out of Management Letter on Review of the Schedule of Assets Held by the County Treasury as of September 30, 2012• Receive and file The Report on Continuous Compliance Auditing of the Treasury Investment Portfolio for the Quarter Ended June 2014• Receive and file December 2014 TOC Compliance Summary• Review and Approve 2014 TOC Annual Report• Review Form 700 Filing• Election of Chair and Vice Chair
7. Chair’s Report
8. Treasurer’s Report
9. Public Comments At this time, members of the public may address the Committee regarding any item within the subject matter jurisdiction of the Committee provided that <u>NO</u> action may be taken on off-agenda items unless authorized by law.
10. Committee Member Comments At this time, members of the Treasury Oversight Committee may comment on agenda or non-agenda matters and ask questions of or give directions to staff, provide travel or member committee reports; provided that <u>NO</u> action may be taken on off-agenda items unless authorized by law.
11. Adjournment to Wednesday, April 29, 2015 at 3:00 P.M., Auditor/Controller’s Conf. Room #300