



OFFICE OF THE TREASURER-TAX COLLECTOR
SHARI L. FREIDENRICH, CPA, CCMT, CPFA, ACPFIM



TREASURY OVERSIGHT COMMITTEE (TOC) AGENDA

Wednesday, July 29, 2015 at 3:00 p.m.
12 Civic Center Plaza, Conference Room 300, Santa Ana

LAURA PARISI, Chair

WILLIAM “ANDY” DUNN, Vice Chair

GARY CAPATA, Member

FRANK KIM, Member

DR. AL MIJARES, Member

RICHARD ROHM, Member

ERIC WOOLERY, Member

1. Call to Order
2. Welcome
3. Public Comments <p>At this time, members of the public may address the Committee regarding any item within the subject matter jurisdiction of the Committee provided that <u>NO</u> action may be taken on off-agenda items unless authorized by law.</p>
4. Minutes <ul style="list-style-type: none">• Approve April 22, 2015 Minutes
5. Old Business <ul style="list-style-type: none">• Receive Draft Memo to the Board of Supervisors from the Treasurer regarding the Investment Policy Statement Due Diligence Process
6. New Business <ul style="list-style-type: none">• Receive and File Treasurer’s Monthly Investment Report for April, May & June• Receive and File the Report on Review of the Schedule of Assets Held by the County Treasury as of September 30, 2014• Receive and File the Report on Continuous Compliance Auditing of the Treasury Investment Portfolio for the Quarter Ended December 31, 2014• Receive and File the Third Follow-Up Audit of the Treasury Cost Allocations to Pool Participants as of February 28, 2015• Receive and File June 2014 TOC Compliance Summary• Discussion of Annual Audit RFP and Consideration of One Year Extension with the Internal Audit Department
7. Chair’s Report
8. Treasurer’s Report
9. Public Comments <p>At this time, members of the public may address the Committee regarding any item within the subject matter jurisdiction of the Committee provided that <u>NO</u> action may be taken on off-agenda items unless authorized by law.</p>
10. Committee Member Comments <p>At this time, members of the Treasury Oversight Committee may comment on agenda or non-agenda matters and ask questions of or give directions to staff, provide travel or member committee reports; provided that <u>NO</u> action may be taken on off-agenda items unless authorized by law.</p>
11. Adjournment to Wednesday, October 21, 2015 at 3:00 P.M., Auditor/Controller’s Conf. Room #300