



OFFICE OF THE TREASURER-TAX COLLECTOR
SHARI L. FREIDENRICH, CPA, CCMT, CPFA, ACPFIM



TREASURY OVERSIGHT COMMITTEE (TOC) AGENDA

Wednesday, October 19, 2016 at 3:00 p.m.
12 Civic Center Plaza, Conference Room 300, Santa Ana

GARY CAPATA, Chair

LAURA PARISI, Vice Chair

DR. ANDY DUNN, Member

FRANK KIM, Member

DR. AL MIJARES, Member

RICHARD ROHM, Member

ERIC WOOLERY, Member

1. Call to Order
2. Welcome
3. Public Comments At this time, members of the public may address the Committee regarding any item within the subject matter jurisdiction of the Committee, provided that, <u>NO</u> action may be taken on off-agenda items unless authorized by law.
4. Minutes <ul style="list-style-type: none">Approve July 27, 2016 Minutes
6. New Business <ul style="list-style-type: none">Receive and File Treasurer's Monthly Investment Report for July, August and SeptemberReceive and File Report on Review of the Schedule of Assets Held by the County Treasury as of December 31, 2015Receive and File Report on Review of the Schedule of Assets Held by the County Treasury as of March 31, 2016Receive and File Continuous Compliance Auditing of the Treasurer's Investment Portfolio for the Quarter Ended June 30, 2016Receive and File September 2016 TOC Compliance SummaryReview Bylaws and Rules of ProceduresReview Investment Policy StatementReview Irregularity ProceduresReceive and File Office of the Treasurer Broker-Dealer / Financial Institution List as of 9/30/16Review AB 1234 Mandatory Ethics training requirements
7. Chair's Report
8. Treasurer's Report
9. Public Comments At this time, members of the public may address the Committee regarding any item within the subject matter jurisdiction of the Committee provided that, <u>NO</u> action may be taken on off-agenda items unless authorized by law.
10. Committee Member Comments At this time, members of the Treasury Oversight Committee may comment on agenda or non-agenda matters and ask questions of or give directions to staff, provide travel or member committee reports provided that, <u>NO</u> action may be taken on off-agenda items unless authorized by law.
11. Adjournment to Wednesday, January 25, 2017 at 3:00 P.M., Auditor/Controller's Conf. Room #300