



OFFICE OF THE TREASURER-TAX COLLECTOR
SHARI L. FREIDENRICH, CPA, CCMT, CPFA, ACPFIM



TREASURY OVERSIGHT COMMITTEE (TOC) AGENDA

Wednesday, April 19, 2017 at 3:00 p.m.
12 Civic Center Plaza, Conference Room 300, Santa Ana

GARY CAPATA, Chair

RICHARD ROHM, Vice Chair

DR. ANDY DUNN, Member

FRANK KIM, Member

DR. AL MIJARES, Member

LAURA PARISI, Member

ERIC WOOLERY, Member

1. Call to Order

2. Welcome

3. Public Comments

At this time, members of the public may address the Committee regarding any item within the subject matter jurisdiction of the Committee, provided that, NO action may be taken on off-agenda items unless authorized by law.

4. Minutes

- Approve January 25, 2017 Minutes

5. Old Business

- Update on 2016 Annual Compliance Audit RFP

6. New Business

- Receive and File Treasurer's Monthly Investment Report for January, February and March
- Receive and File March 2017 TOC Compliance Summary
- Receive and File Report on Review of the Schedule of Assets Held by the County Treasury as of September 30, 2016
- Receive and File Report on Compliance Monitoring of the Treasurer's Investment Portfolio for the Quarter Ended December 31, 2016
- Review and Approve Engagement Letter with Auditor-Controller Internal Audit Division to Provide Quarterly Compliance Review Services
- Receive and File MGO County of Orange Management Letter For the Year Ended June 30, 2016

7. Chair's Report

8. Treasurer's Report

9. Committee Member Comments

At this time, members of the Treasury Oversight Committee may comment on agenda or non-agenda matters and ask questions of or give directions to staff, provide travel or member committee reports provided that, NO action may be taken on off-agenda items unless authorized by law.

10. Adjournment to Wednesday, July 19, 2017 at 3:00 P.M., Auditor/Controller's Conf. Room #300