



OFFICE OF THE TREASURER-TAX COLLECTOR
SHARI L. FREIDENRICH, CPA, CCMT, CPFA, ACPFIM



TREASURY OVERSIGHT COMMITTEE (TOC) AGENDA

Wednesday, October 24, 2018 at 3:00 p.m.
12 Civic Center Plaza, Conference Room 300, Santa Ana

RICHARD ROHM, Chair

LAURA PARISI, Vice Chair

GARY CAPATA, Member

ALISA CUTCHEN, Member

FRANK KIM, Member

DR. AL MIJARES, Member

ERIC WOOLERY, Member

1. Call to Order

2. Welcome

3. Public Comments

At this time, members of the public may address the Committee regarding any item within the subject matter jurisdiction of the Committee, provided that NO action may be taken on off-agenda items unless authorized by law.

4. Minutes

- Approve July 18, 2018 Minutes

5. Old Business

- Update on Annual Treasury Compliance Audit for the Eighteen-Month Period Ended June 30, 2017

6. New Business

- Receive and File Treasurer's Monthly Investment Report for July, August and September
- Receive and File September 2018 TOC Compliance Summary
- Receive and File Report on Compliance Monitoring of the Treasurer's Investment Portfolio for the Quarter Ended June 30, 2018
- Review and Approve Bylaws and Rules of Procedures
- Review Comparison of Investment Policy Statement to Peer Counties
- Review Investment Policy Statement
- Review Irregularity Procedures
- Receive and File Office of the Treasurer Broker-Dealer / Financial Institution List as of 9/30/18
- Review Annual Certificate of Compliance
- Review AB 1234 Mandatory Ethics Training Requirements

7. Chair's Report

8. Treasurer's Report

9. Committee Member Comments

At this time, members of the Treasury Oversight Committee may comment on agenda or non-agenda matters and ask questions of or give directions to staff, provide travel or member committee reports provided that NO action may be taken on off-agenda items unless authorized by law.

10. Adjournment to Wednesday, January 23, 2019 at 3:00 P.M., Auditor/Controller's Conf. Room #300